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03-05-04

AT/1600

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|--|---------------------------------|------------------------|-------------------------|
| AMENDMENT TRANSMITTAL LETTER | | | Docket No. DFN-031US |
| Application No. 09/830762-Conf. #2130 | Filing Date October 12, 2001 | Examiner U. Winkler | Art Unit 1648 |

Applicant(s): Margaret Shipp *et al.*

Invention: LYMPHOMA ASSOCIATED MOLECULES AND USES THEREFOR

MAR 09 2004

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.
The fee has been calculated and is transmitted as shown below.

| CLAIMS AS AMENDED | | | | | |
|---|----------------------------------|--------------------------------|-----------------------------|-------------------------------------|------|
| | Claims Remaining After Amendment | Highest Number Previously Paid | Number Extra Claims Present | Rate | |
| Total Claims | 71 | - 80 = | | x | |
| Independent Claims | 10 | - 10 = | | x | 0.00 |
| Multiple Dependent Claims (check if applicable) | | | | <input checked="" type="checkbox"/> | 0.00 |
| Other fee (please specify): | | | | | |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT: | | | | | 0.00 |

- ☐ Large Entity ☒ Small Entity
- ☒ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 12-0080 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

DeAnn F. Smith
Attorney Reg. No.: 36,683

Dated: March 3, 2004

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, Massachusetts 02109
(617) 227-7400

| | |
|--|------------------------------|
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 377652426 US, in an envelope addressed to: MS AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below. | |
| Dated: March 3, 2004 | Signature: (DeAnn F. Smith) |

FEE TRANSMITTAL
for FY 2003

Patent fees are subject to annual revision.

| | |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Applicant claims small entity status. See 37 CFR 1.27 |
|-------------------------------------|---|

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | 09/830762-Conf. #2130 |
| Filing Date | October 12, 2001 |
| First Named Inventor | Margaret SHIPP |
| Examiner Name | U. Winkler |
| Group Art Unit | 1648 |
| Attorney Docket No. | DFN-031US |

METHOD OF PAYMENT (check all that apply)

| | | | | | | | | | |
|--------------------------|-------|--------------------------|-------------|--------------------------|-------------|--------------------------|-------|--------------------------|------|
| <input type="checkbox"/> | Check | <input type="checkbox"/> | Credit Card | <input type="checkbox"/> | Money Order | <input type="checkbox"/> | Other | <input type="checkbox"/> | None |
|--------------------------|-------|--------------------------|-------------|--------------------------|-------------|--------------------------|-------|--------------------------|------|

☒ Deposit Account

Deposit
Account
Number

12-0080

Deposit
Account
Name

Lahive & Cockfield, LLP

The Commissioner is hereby authorized to: (check all that apply)

| | | | |
|-------------------------------------|-------------------------------|-------------------------------------|-------------------------|
| <input checked="" type="checkbox"/> | Charge fee(s) indicated below | <input checked="" type="checkbox"/> | Credit any overpayments |
|-------------------------------------|-------------------------------|-------------------------------------|-------------------------|

| | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Charge any additional fee(s) during the pendency of this application |
|-------------------------------------|--|

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 750 | 2001 | 375 | Utility filing fee | |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

| | | |
|---------------------|-------------|-------------|
| SUBTOTAL (1) | (\$) | 0.00 |
|---------------------|-------------|-------------|

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | Extra Claims | Fee from below | Fee Paid |
|-----------------------|----------------------|---------------------------|------------------------|------------------------|
| Total Claims | <input type="text"/> | .. = <input type="text"/> | x <input type="text"/> | = <input type="text"/> |
| Independent Claims | <input type="text"/> | .. = <input type="text"/> | x <input type="text"/> | = <input type="text"/> |
| Multiple Dependent | | | | = <input type="text"/> |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

| | | |
|---------------------|-------------|-------------|
| SUBTOTAL (2) | (\$) | 0.00 |
|---------------------|-------------|-------------|

**** or number previously paid, if greater. For Reissues, see above**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge – late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge – late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | 55.00 |
| 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| 1253 | 930 | 2253 | 465 | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1401 | 320 | 2401 | 160 | Notice of Appeal | |
| 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| 1501 | 1,300 | 2501 | 650 | Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 | 235 | Design issue fee | |
| 1503 | 630 | 2503 | 315 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37CFR 1.129(b)) | |
| 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

| | | |
|---------------------|-------------|--------------|
| SUBTOTAL (3) | (\$) | 55.00 |
|---------------------|-------------|--------------|

SUBMITTED BY

| | |
|-------------------|----------------|
| Name (Print/Type) | DeAnn F. Smith |
|-------------------|----------------|

Signature

Registration No.
(Attorney/Agent)

36,683

Complete (if applicable)

| | |
|-----------|----------------|
| Telephone | (617) 227-7400 |
|-----------|----------------|

Date _____

March 3, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 377652426 US, in an envelope addressed to: MS AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: March 3, 2004

Signature:  (DeAnn F. Smith)